

TITLE 710 SECURITIES DIVISION

Notice of Second Public Hearing

LSA Document #25-231

Under IC 4-22-2-23, notice is hereby given that on December 1, 2025, at 11:00 am, at the Indiana Government Center South, 302 West Washington Street, E111 – Dunes Conference Room, Indianapolis, Indiana, the Indiana Securities Division (Securities Division) will hold a second public hearing on adding new rules at 710 IAC 5 concerning general provisions on the assessment of fines and penalties.

The purpose of this hearing is to receive comments from the public prior to consideration of adoption of these rules by the Securities Division. All interested persons are invited and will be given reasonable opportunity to express their views concerning the proposed new rules under 710 IAC 5.

If Securities Division does not receive substantive comments during the public comment period or public hearing, the rule may be adopted with text that is the same as or does not substantially differ from the proposed rule.

The public may attend the Securities Division public hearing in person or join remotely at <https://securities.sos.in.gov/rule-making-docket/>.

Copies of these rules are now on file at the Securities Division, 302 West Washington Street, Room E-111, Indianapolis, Indiana, and are open for public inspection and copying.

Marie Castetter
Securities Commissioner
Indiana Securities Division