

Notice of Public Hearing

LSA Document #25-231

Under IC 4-22-2-23, notice is hereby given that on June 17, 2025, at 10:00 a.m., at the Indiana Government Center South, 302 West Washington Street, Harrison Hall – Room 29, Indianapolis, Indiana, the Securities Division (division) will hold a public hearing on adding new rules at 710 IAC 5 concerning general provisions on the assessment of fines and penalties.

The purpose of this hearing is to receive comments from the public prior to consideration of adoption of these rules by the division. All interested persons are invited and will be given reasonable opportunity to express their views concerning the proposed new rules.

If the division does not receive substantive comments during the public comment period or public hearing, the rule may be adopted with text that is the same as or does not substantially differ from the proposed rule.

The public may attend the division's public hearing in person or join remotely at <https://securities.sos.in.gov/rule-making-docket/>.

Copies of these rules are now on file at the Securities Division, 302 West Washington Street, Room E-111, Indianapolis, Indiana and are open for public inspection and copying.

Marie Castetter

Securities Commissioner

Indiana Securities Division

Notice of First Public Comment Period: 20250514-IR-710250231FNA

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